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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Akihiro KAWASE, Toshihiro MIWA, Kenji SAKAMOTO, Ichiro HAYASHIDA
Application No.:	10/533888
Filed:	May 5, 2005
For:	POLISHING COMPOSITION AND RINSING COMPOSITION
Examiner:	Not Yet Assigned
Group Art Unit:	Not Yet Assigned
Firm Docket No.:	O11.2I-11893-US01

## MAIL STOP MISSING PARTS

DATE: December 21, 2005 TIME: 3:39 P.M. FACSIMILE NO.: 571-273-8300

TOTAL NUMBER OF PAGES (including transmittal letter): 11

## FACSIMILE TRANSMITTAL LETTER

In addition to this 2 page Facsimile Transmittal Letter, attached please find 2 pages copy of the Notification of Missing Requirements (371 Formalities Notice; 2 pages Response to Notice of Missing Requirements; 1 page executed Power of Attorney; 4 pages executed Declaration

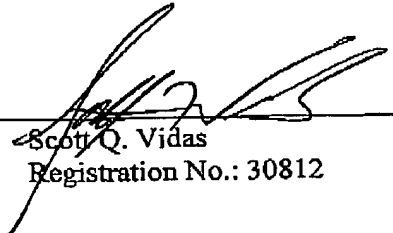
With respect to fees: ☒ Please charge the \$130.00 filing and surcharge fee to our Deposit Account No. 22-0350

## Conditional Petition

If any extension of time for the accompanying response is required or if a petition for any other matter is required, applicant requests that this be considered a petition therefore.

If any additional fees associated with this communication are required and have not otherwise been paid, please charge the additional fees to Deposit Account No. 22-0350. Please credit overpayment associated with this communication to the Deposit Account No. 22-0350.

12/23/2005 GFREY1 00000147 220350 10533888  
01 FC:1617 130.00 DA

Date: December 21, 2005Respectfully submitted,  
VIDAS, ARRETT & STEINKRAUSBy:   
Scott Q. Vidas  
Registration No.: 30812

**Transmittal Letter**  
**Page 2**

**Application No.: 10/533888**  
**Attorney Docket No.: 011.2-11893-US01**

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
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**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. 571-273-8300, on December 21, 2005.

Signature:   
Robin Peddieson

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U.S. APPLICATION NUMBER NO. 10/533,888	FIRST NAMED APPLICANT Akihiro Kawase	ATTY. DOCKET NO. 011.2-11893-US01
INTERNATIONAL APPLICATION NO. PCT/JP03/14224		
IA FILING DATE 11/07/2003		PRIORITY DATE 11/08/2002

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VIDAS, ARRETT & STEINKRAUS P.A.  
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MINNETONKA, MN 55343-9185

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**CONFIRMATION NO. 9697**  
**371 FORMALITIES LETTER**  
\*OC000000017443873\*

*Docketed*  
11/14/06  
Date Mailed: 11/14/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/05/2005
- English Translation of the IA filed on 05/05/2005
- Copy of the International Search Report filed on 05/05/2005
- Preliminary Amendments filed on 05/05/2005
- Information Disclosure Statements filed on 06/06/2005
- Request for Immediate Examination filed on 05/05/2005
- U.S. Basic National Fees filed on 05/05/2005
- Priority Documents filed on 05/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

Best Available Copy

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,888	PCT/JP03/14224	011.2-11893-US01

FORM PCT/DO/EO/905 (371 Formalities Notice)

**Rec'd PCT/PTO 21 DEC 2005**RECEIVED  
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In Re Application of:</b>	Akihiro KAWASE, Toshihiro MIWA, Kenji SAKAMOTO, Ichiro HAYASHIDA
<b>Application No.:</b>	10/533888
<b>Filed:</b>	May 5, 2005
<b>For:</b>	POLISHING COMPOSITION AND RINSING COMPOSITION
<b>Group Art Unit:</b>	Not Assigned

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No: O1121-11893-US01

**RESPONSE TO NOTICE OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371**

In response to the Notice to File Missing Requirements of Application, mailed November 14, 2005, enclosed for filing please find:

1. A copy of the Notice to File Missing Requirements of Application and a Check for \$130.00 to cover the filing and surcharge fees.
2. Declaration;
3. Power of Attorney. If from assignee, it is hereby certified that the undersigned has authority to make this certification and has reviewed all the documents in the chain of title of the patent application identified herein and, to the best of undersigned's knowledge and belief, title is in the assignee identified above (37 CFR 3.73(b));

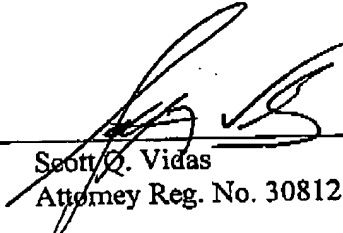
Please charge any additional fees or credit any overpayment associated with this communication to the Deposit Account No. 22-0350.

**RESPONSE TO MISSING REQUIREMENTS**  
**Page 2**

**Application No.: 10/533888**  
**Attorney Docket No.: 011.2-11893-US01**

Respectfully submitted,  
VIDAS, ARRETT & STEINKRAUS, P.A.

Date: December 21, 2005

By:   
Scott Q. Vidas  
Attorney Reg. No. 30812

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